



CHHATRAPATI SHAHU JI MAHARAJ UNIVERSITY, KANPUR
DOCTOR OF PHILOSOPHY (Ph.D.) DEGREE ORDINANCE 2016
(Amended as per UGC regulation/notification dated May 5, 2016 and
published in Gazette of India no. 278 dated July 5, 2016)



1 Short title, Application and Commencement:

- 1.01** In exercise of powers conferred by clause (1) of section-52 (3) of the U.P. State Universities Act, 1973, (President's Act No. 10 of 1973), as re-enacted and amended by the Uttar Pradesh Universities (Re-enactment and Amendment) Act, 1974 (U.P. Act No. 29 of 1974), the Chhatrapati Shahu Ji Maharaj University, Kanpur hereby amends the following Ordinance.
This ordinance may be called "Chhatrapati Shahu Ji Maharaj University, Doctor of Philosophy (Ph.D.) Degree Ordinance, 2016".
- 1.02** In order to regulate the minimum standards and procedures for award of Ph.D. degree in conformity with the University Grants Commission (Minimum Standards and Procedure for Award of Ph.D. Degree) Regulations, 2016, dated 05 May, 2016, the following ordinance is promulgated (amended after ordinance of 2014) to make provisions for Ph.D. admission leading to the award of the degree in different faculties of the University. This ordinance shall come into effect from 2016 onwards, in supersession of all the previous Ph.D. Ordinances.
- 1.03** Those candidates who have been registered for Ph.D. before the promulgation of this Ordinance would be governed by the earlier Ordinance issued from time to time under which he/she had been admitted.

2 Eligibility criteria for admission to Ph.D. programme:

- Subject to the conditions stipulated in UGC Regulations-2016, the following persons are eligible to seek admission to the Ph.D. programme:
- 2.01** Candidate for admission to the Ph.D. programme shall have a Master's degree or a professional degree declared equivalent to the Master's degree by the corresponding statutory regulatory body, with at least 55% marks in aggregate or its equivalent grade "B" in the UGC 7-point scale (or an equivalent grade in a point scale wherever grading system is followed) or an equivalent degree from a foreign educational Institute accredited by an Assessment and Accreditation Agency which is approved, recognized or authorized by an authority, established or incorporated under a law in its home country or any other statutory authority in that country for the purpose of assessing, accrediting or assuring quality and standards of educational institutions.
- 2.02** A relaxation of 5% of marks, from 55% to 50%, or an equivalent relaxation of grade, may be allowed for those belonging to SC/ST/OBC (non-creamy layer)/Differently-Abled and other category of candidate as per the decision of the Commission from time to time, or for those who had obtained their Master's degree prior to 19th September, 1991. The eligibility marks of 55% (or an equivalent grade in a point scale wherever grading system is followed) and the relaxation of 5% to the category mentioned above are permissible based only on the qualifying marks without including the grace mark procedures.
- 2.03** (i) A candidate shall ordinarily be permitted to pursue research for the Ph.D. degree in the subject in which he/she holds Master's degree.



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Provided that an M.Com. or M.Sc.(Ag) in Agriculture Economics may be permitted to work for Ph.D. degree in Economics in the Faculty of Art and an M.A. in Economics may be permitted to work for the Ph.D. Degree in Agriculture Economics in the Faculty of Agriculture.

Provided further that an M.A. or M.Sc. in Mathematics may be permitted to work for Ph.D. degree in Statistics in the Faculty of Science.

Provided a candidate holding M.D. Degree in Psychological Medicine (in the Faculty of Medicine) is eligible to supplicate for Ph.D. degree in Psychology.

(ii) In Faculty of Ayurveda, however, a candidate having M.D. (Ayurveda) degree in the subject concerned or equivalent degree of another University recognized by this University with at least 60% (55% for SC/ST/ Differently-abled candidates) marks shall be eligible for admission to the Ph.D. programme in Ayurveda.

(iii) In faculty of Medicine, a candidate having M.D./M.S. degree in the subject concerned or equivalent degree of another University recognized by this University/having a post graduate degree with at least 60% (55% for SC/ST/ Differently-abled candidates) marks shall be eligible for admission to the Ph.D. programme in Medicine.

(iv) Research on living authors is not permissible.

3. Duration of the Programme:

3.01 Ph.D. programme shall be for a minimum duration of three years, including course work and a maximum of six years.

This would be called as the Residency period of the Research Scholar. Research Scholar must put regular attendance in the department concerned after the completion of the course work.

No candidate would be exempted from the continuous Residency period.

3.02 Vice-Chancellor, on the recommendation of the Supervisor may grant permission to candidate to reside outside for purpose of collection of research material for his/her thesis or doing experimental research work for a total period of not more than one year provided further that such exemption shall not be granted in the first six months after course work.

3.03 In case, a candidate fails to submit his/her thesis within Six years, from date of his/her registration, as mentioned in ordinance 3.01, he/she shall cease to be a bonafide student of the University and shall not be entitled to the rights and facilities extended to a regular student and his/her registration shall stand cancelled automatically.

3.04 The women candidates and Persons with Disability (more than 40% disability) may be allowed a relaxation of two years in maximum duration on his/her request and permission granted by Vice-Chancellor before the expiry of Six Years. In addition, the women candidates may be provided Maternity Leave/Child Care Leave once in the entire duration of Ph.D. for up to 240 days.



4. Procedure for admission

4.01 The University shall admit Ph.D. students through an entrance test named as "Chhatrapati Shahu Ji Maharaj University Entrance Test(CSJMU-ET)" for Ph.D degree.

Subject to the provisions contained in clause (8) of section 7 of the Uttar Pradesh State Universities Act, 1973, CSJMU-ET shall be conducted in specified subjects/disciplines by the Vice-Chancellor.

Exemptions from the Entrance Test:

The following categories of candidates shall be exempted from the Entrance Test for admission to Ph.D. programme:

- (a) Permanent Assistant Professors, Associate Professors and Professors having appointment on substantive posts in the teaching departments of the University or a government college / government aided college affiliated / associated to the University who have completed their probation successfully with an experience of at least Two years of uninterrupted service.
- (b) International students (including NRIs) which shall be subject to the general rules framed by the Government of India from time to time and as applicable to the University with regards to the admission, fees etc.
- (c) Candidates who have secured grants for research such as fellowship/scholarship/associateship etc. from UGC(NET-JRF) / CSIR(NET-JRF) / GATE-MHRD Scholarship / Teacher Fellowship / DBT / ICMR/ICAR/DST-INSPIRE/SLET.
- (d) Candidates who have GATE Score of not less than 95 Percentile.
- (e) Serving Army, Navy and Air-force Officers of at least Colonel or equivalent rank with not less than 15 years of service applying for Ph.D. degree in Defence and Strategic Studies.

Note: In case, number of candidates passing JRF are more than the number of vacancies in a particular subject, their marks in Post-Graduation will also be taken into account to decide the merit for their admission in Ph.D. programme. The categories for the candidate exempted from Entrance Test will be given preference over the candidates qualifying through Entrance Test.

4.02 For conducting the Ph.D. programme the University shall:

- (i) Decide on an annual basis through academic bodies (Dean/Convener Committee) a predetermined and manageable number of Ph.D. scholars to be admitted depending on the number of available Research Supervisors and other academic and physical facilities available, keeping in mind the norms regarding the scholar-teacher ratio (as indicated in Para 5.04), laboratory, library and such other facilities;
- (ii) Notify well in advance at the University website and the notice for which will also be published in two newspapers, the number of seats for admission, subject/discipline-wise distribution of available seats, criteria for admission, procedure for admission, examination center(s) where entrance test(s) shall be conducted and all other relevant information for the benefit of the candidates.



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- 4.03** The admission shall be based on the criteria notified by the University, keeping in view the guidelines/norms in this regard issued by the UGC and other statutory bodies concerned and taking into account the reservation policy of the State Government of Uttar Pradesh from time to time into different Vertical and Horizontal-categories viz. unreserved, reserved for OBC/SC/ST/Differently-abled and so classified for each Department/Subject.
- 4.04** University shall admit candidates by a two stage process through:
- (1) (i) Qualifying as an Entrance Test which will contain 100 multiple-choice objective-type questions of 4 marks each (maximum 400 marks) and shall consist of 50% of research methodology and 50% shall be subject specific of two hours' duration for assessing subject expertise, and subject proficiency and subject knowledge.
- (ii) There shall be negative marking. On each wrong answer, 1 mark will be deducted.
- (iii) The minimum qualifying marks for the Entrance Test shall be 50 percent marks.
- Provided that a relaxation of 5% of marks (from 50% to 45%) shall be allowed for the candidates belonging to SC/ST/OBC (Non-Creamy layers)/Differently-abled category in the entrance examination conducted by the University.
- Provided* further that the percentage of marks shall not be rounded-off to the next higher integer.
- (iv) The Entrance Test shall be conducted ordinarily in the University campus but in special circumstances, it may be conducted in Government Degree Colleges/ Government aided degree colleges. The Entrance test center(s) shall be notified in advance (changes of centers, if any, will also be notified well in advance) to the applicants.
- (v) Based on the marks obtained in Entrance test, Subject wise/Category wise merit lists will be prepared. The qualified applicants, in order of merit in each subject would be called for Course Work as per the available number of vacant Ph.D. seats. This eligibility pertains to the level of being called for the Course Work only and may not guarantee admission to Ph.D. programme.
- (2) The Research Degree Committee (RDC) shall arrange for an interview/viva-voce which shall include Presentations on the research interest/area.
- University shall grant Registration/Admission to candidate for Ph.D. programme on the recommendation of Research Degree Committee (RDC) and its approval by the Vice-Chancellor.
- The candidate would be required to submit the fee for Ph.D. work (registration/continuation of the registration fee) every year or part thereof.
- 4.05** During the interview/viva-voce, the RDC shall also consider the following aspects, whether:
- (a) The candidate possesses the competence for the proposed research.
- (b) The research work can be suitably undertaken at the College/University academic Department.
- (c) The proposed area of research can contribute to new/additional knowledge.
- 4.06** The University shall maintain the subject-wise list of all Ph.D. registered students on its website on year-wise basis. The list shall include the name of the registered candidate, topic of his/her research, name of his/her supervisor and date of



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registration.

5. Allocation of Research Supervisor:

Eligibility criteria to be a Research Supervisor, Co-Supervisor, Number of Ph.D. scholars permissible per Supervisor, etc.

5.01 Any Regular Professor of the University/College with at least five original research publications in refereed journals and any Regular Associate/Assistant Professor of the University/College with a Ph.D. degree and at least two original research publications in refereed journals may be recognized as Research Supervisor.

Provided that in area/disciplines where there is no or only a limited number of refereed journals, the Institution may relax the above condition for recognition of a person as Research Supervisor with reasons recorded in writing.

Permanent teachers holding a substantive post with a proven track record of research, as evidenced by publications in peer-reviewed journals, working in a Post-graduate department of a Government or Government aided Associated / Affiliated college of the University and fulfilling all the requirements of above clause of this ordinance will be allowed to act as a Ph.D. supervisor. In case of the Science subjects, the supervisor will have to provide evidence for having sufficient laboratory facilities for carrying out the proposed research work. At any given time, to start with, no such teacher will be allowed to take more than two candidates for supervision.

The Vice-Chancellor in consultation with the Deans of faculties/Head of University departments or the Director of Institute shall approve a list of qualified supervisors in accordance with the aforesaid ordinance and may add or delete names accordingly.

A supervisor shall not be allowed to supervise a candidate who is his/her relative whether by blood or by marriage.

Explanation- In this ordinance "relative" means the relations provided in the Explanation to section 20 of the U.P. State Universities Act, 1973.

5.02 Only a full time regular teacher of the concerned University/College can act as a supervisor. The external supervisor is not allowed. However, Co-Supervisor can be allowed in inter-disciplinary areas from other departments of the same University/College or from other related institutions/college with the approval of the Vice-Chancellor on the recommendation of Research Advisory Committee.

5.03 (a) The allocation of the supervisor for a 'Course Work qualified' student shall be decided by the Counseling Committee comprising of the Coordinator, Dean/ the Director of Institute/Head of the Department (as the case may be) or Convener. A Supervisor may be called for discussion if required.

(b) The allocation of the supervisor for a selected research scholar (course work qualified) shall be decided by the University in a formal manner depending on the number of scholars per Research Supervisor, the available specialization among the Supervisors and the research interest of the scholars as indicated by them at the time of interview/viva voce.



(c) No change of supervisor shall ordinarily be allowed, but in special cases, where the Dean of Faculty/ the Convener/ the Head of the Department is satisfied that the research work of a scholar will suffer, the matter would be placed before the Vice-Chancellor through the Dean of faculty and the Convener/ the Head of the Department who may allow the change of supervisor. The reasons might be any of the following:

(i) On account of migration, retirement, long leave, or for any other reason, the supervisor may not be available to guide the scholar.

(ii) As the supervisor is not willing to, or not in a position to supervise the candidate.

(iii) due to some extra-ordinarily situation necessitating such a change.

The Vice-Chancellor/RDC, at its discretion may also decide whether change of supervisor will require fresh registration of the scholar.

5.04 At any given point of time, a Research Supervisor/Co-supervisor who is, a Professor, cannot guide more than Eight (8) Ph.D. scholars; an Associate Professor as Research Supervisor/Co-supervisor can guide up to a maximum of six (6) Ph.D. scholars and an Assistance Professor as Research Supervisor/Co-supervisor can guide up to a maximum of four (4) Ph.D. scholars.

5.05 In case of relocation of a woman Ph.D. scholar due to marriage or otherwise, the research data shall be allowed to be transferred to the University to which the scholar intends to relocate provided all the other conditions in these regulations are followed in letter and spirit and the research work does not pertain to the project secured by the parent institution/supervisor from any funding agency. The scholar will however give due credit to the parent guide and the institution for the part of research already done.

6. Course Work:

Credit Requirements, number duration, syllabus, minimum standards for completion, etc.

6.01 The credit assigned to the Ph.D. course work shall be a minimum of 08 credits and a maximum of 16 credits.

6.02 The course work shall be treated as prerequisite for Ph.D. preparation. A minimum of four credits shall be assigned to one or more courses as follows:

(i) The first paper being a compulsory course on research methodology and may include research methodology, quantitative methods, computer applications, research ethics and reviewing of published research in the relevant field and other techniques/methods, specific for the broad subject/ area.

(ii) The second paper would include advance level theory and research methods specific to the candidate's chosen research subject/area.

6.03 All course prescribed for Ph.D. course work shall be in conformity with the credit hour instruction requirement and shall specify content, instructional and assessment methods.

The course work of one semester shall be treated as a prerequisite for Ph.D. preparation. This Ph.D. course work shall be of 8 credits in total i.e. 4 credits for each paper. 4 credits imply 4 hours of teaching per week for 16 weeks. In other words, a minimum 64 hours of teaching will be conducted for each paper for the Ph.D. course work. One credit is equivalent to one hour of teaching (lecture or



tutorial) or two hours of practical work/field work per week.

The Head of Department/Convener/Course Coordinator (as the case may be) for respective subject shall prepare the time-table for the Ph.D. course work, teaching, continuous evaluation and internal tests and shall conduct the same under the overall supervision of the Coordinator appointed by the Vice-Chancellor or nominee of the Vice-Chancellor.

6.04 All candidates admitted to the Ph.D. programme shall be required to complete the course work prescribed by the University during the initial one semester. Successful completion of Ph.D. course work of one semester duration would be binding on all the Ph.D. candidates including exempted categories.

6.05 Minimum attendance required to become eligible to appear in the qualifying examination for each paper shall be 75% of all class lectures (separately in theory and practical). In case a student is short of attendance due to illness, participation in sports, extracurricular activities etc., the following rules may be applied:

(a) Shortage up to 5% may be condoned by the Coordinator(Research) on the specific recommendations of the Convener/Dean/Head/Course Coordinator.

or

(b) A shortage up to a maximum of 10% may be condoned by the Vice-Chancellor on the specific recommendations of the Convener/Dean/Course Coordinator through Coordinator(Research).

However, minimum 65% attendance is required even after such condonations.

The University shall conduct an end semester qualifying examination based on the course work in general supervision of the Registrar or a Person nominated by the Vice-Chancellor. The pass marks in the Course Work shall be 55% or its equivalent grade in the UGC 7-point scale (or an equivalent grade/CGPA in a point scale wherever grading system is followed) for all students in each paper (Internal and External separately) and in aggregate as well.

7. Research Degree Committee and its functions:

7.01 On successful completion of course work, the student shall submit a synopsis for Ph.D. work. The synopsis shall be placed before a Research Degree Committee (hereinafter referred to as the RDC), which will be separate for each subject and shall consist of:

(a) the Vice-Chancellor as Chairman,

(b) the Dean of the faculty or the Director of the Institute,

(c) the Head of the Department in the case of University campus departments / Convener, Board of Studies in the case of affiliated Colleges, as convener and

(d) two experts nominated by the Vice-Chancellor in consultation with the members mentioned at (b) and (c) above for one year.

The Vice-Chancellor may invite a person of repute as additional member of the Committee.

The Research Degree Committee (RDC) shall arrange for an interview/viva-voce which shall include Presentations on the research interest/area. During the interview/viva-voce the RDC shall also consider the following aspects, whether:

(a) The candidate possesses the competence for the proposed research.

(b) The research work can be suitably undertaken at the College/University academic Department.



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(c) The proposed area of research can contribute to new/additional knowledge.

In case, the RDC finds that the synopsis is not up to the mark, it shall make specific suggestions for improving the synopsis. The candidate after making the necessary improvements shall re-submit his/her revised synopsis for approval within 30 days. The revised synopsis would to be placed before the next meeting of the RDC. If RDC is satisfied, it shall recommend the application to the Vice-Chancellor for permission being granted to the candidate for Registration in the Ph.D. Programme. No further chance will be given after this re-consideration.

In case, the synopsis is rejected, the candidate may submit the new synopsis within two months to be placed before the next meeting of the RDC. No further chance will be given after this re-consideration.

8. Research Advisory Committee and its functions:

8.01 There shall be a Research Advisory Committee, or an equivalent body comprising of Coordinator nominated by the Vice-Chancellor, Convener and one subject expert nominated by the Vice-Chancellor for each subject. This Committee shall have the following responsibilities:

- (a) To review the research proposal and finalize the topic of research
- (b) To guide the research scholar to develop the study design and methodology of research and identify the course(s) that he/she may have do.
- (c) To periodically review, assist in the progress of the research work of the research scholar.

8.02 The candidate shall be required to submit a progress report every six months to the University through Supervisor from the date of Ph.D. registration.

A research scholar shall appear before the Research Advisory Committee once in a year after approval of his/her synopsis to make a presentation of the progress of his/her work for evaluation and further guidance. The six monthly progress reports shall be submitted to the Research Advisory Committee for evaluation and remarks/comments.

8.03 In case the progress of the research scholar is unsatisfactory, the Research Advisory Committee shall record the reasons for the same and suggest corrective measures. If the research scholar fails to implement these corrective measures, the Research Advisory Committee may recommend to the University specifying reasons for cancellation of the registration of the research scholar.

9. Evaluation and Assessment Methods, minimum standards/credits for award of the degree, etc:

9.01 After approval of RDC, the Ph.D. scholar shall be required to undertake research work and produce a draft thesis within a reasonable time, as stipulated by this ordinance.

9.02 Prior to submission of the thesis, the scholar shall make a pre-Ph.D. presentation in the University before the Research Advisory Committee of the subject concerned which shall also be open to all Faculty members and other research scholars. The feed-back and comments obtained from them may be suitably incorporated into the draft thesis in consultation with the Research Supervisor.



- The supervisor shall provide a certificate to this effect.
- 9.03** The research scholar shall publish at least one (1) research paper in refereed journal and present at least two (2) research papers in conferences/seminars before the submission of the thesis for evaluation and produce evidence for the same in the form of reprints and/or paper presentation certificates.
- (i) When the thesis is ready for submission, the scholar shall report through his/her supervisor stating therein that the thesis is on the point of completion. Such a report be received at least one month before the completion of the thesis.
- (ii) Head of Department/The convener and supervisor of the subject concerned shall recommend a panel of not less than four National Repute external examiners, of Professor level along with their respective e-mail address, postal address, fax and contact number. In this panel at least two Professor should be outside the country.
- (iii) In the case, the thesis is submitted under the guidance of the convener of the board of studies concerned, he/she will be asked to suggest only one panel of four names of National Repute external experts of Professor level in the capacity of supervisor only. The second panel of National Repute external experts of Professor level may be obtained by the Vice-chancellor, from a senior teacher of the subject concerned.
- 9.04** The Academic Council (or its equivalent body) of the University shall evolve a mechanism using well developed software and gadgets to detect plagiarism and other forms of academic dishonesty. While submission for evaluation, the thesis shall have an undertaking from the research scholar and a certificate from the Research Supervisor attesting to the originality of the work, vouching that there is no plagiarism and that the work has not been submitted for the award of any other degree/diploma of the same University/Institution where the work was carried out, or to any other University/Institution.
- (i) The medium of expression of every thesis shall be either English or Hindi (written in Devanagri Script) except in the case of subjects connected with any of the oriental language where the thesis may at the option of the candidate, be presented in that language.
- (ii) The research scholar shall submit four printed or type written copies of his/her thesis, and two soft copies (CD/DVD) in PDF format along with the four copies of summary and approved synopsis. Published Paper(s)/Abstracts in journals and certificates of Seminar/Conference/Symposia presentations out of the research work must be appended in the thesis.
- The thesis shall be presented in accordance with the following specifications:
- (a) The paper used for printing shall be of A-4 size.
- (b) Printing shall be in a standardized form on both the sides of the paper and in 1.5 cm spacing.
- (c) A margin of about 2.5 cm shall be on all sides excluding the margin required for binding.
- (d) The cover and back cover should be of cardboard/sheet of reasonable thickness and in spiral binding.
- (e) The Title of the thesis, subject, Name of the candidate, degree, Name of the Supervisor, place of research with seal and the month and year of submission shall be printed on the Title page and the front cover.
- (f) The spine of the thesis should mention Ph.D. Thesis on the top, Subject, Name



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of the Candidate, Title and Month & Year.

The thesis shall comply the following conditions:

- (i) It must be a piece of research work characterized either by the discovery of new facts or theories. In either case it should reflect the candidate's capacity for critical examination and sound judgment. The candidate shall communicate, how far the thesis embodies the result of candidate's own observations and in what respects his investigations may advance knowledge in the subject.
- (ii) It shall be satisfactory so far as its literary presentation is concerned and must be in a form suitable for publication.
- (iii) The thesis shall have a Self-declaration certificate from the research scholar and a certificate from the Supervisor/Co-Supervisor:
 - (a) That the thesis embodies the work of the candidate himself/herself.
 - (b) That the candidate worked under him/her for the period required as stipulated by this ordinance.
 - (c) That he/she has put in the required attendance in the department during that period.
 - (d) To the originality of the work.
 - (e) Vouching that there is no plagiarism
 - (f) The work has not been submitted for the award of any other degree/diploma of the same University where the work was carried out or to any other University/Institution.
- (iv) The candidate shall also remit, with thesis evaluation and viva-voce examination fees for Ph.D. degree as decided by the Finance Committee.
- (v) Evaluation Report of his/her Research Supervisor in a sealed envelope.

9.05 The Ph.D. thesis submitted by a research scholar shall be evaluated by his/her Research Supervisor and at least two external examiners, who are not in employment of the University/College of whom one examiner may be from outside the country appointed by the Vice-Chancellor. The viva-voce examination, based among other things, on the critiques given in the evaluation report, shall be conducted by the Supervisor/Head of Department/Convener/Vice-Chancellor's nominee and at least one of the two external examiners appointed by the Vice-Chancellor, and shall be open to be attended by Members of the Research Advisory Committee, all faculty members of the Department, other research scholars and other interested experts/researchers.

9.06 The public viva-voce of the research scholar to defend the thesis shall be conducted at the University Headquarter, only if the evaluation reports of the external examiners on the thesis are satisfactory and include a specific recommendation for conducting the viva-voce examination. If the evaluation reports of the external examiner is unsatisfactory and does not recommend viva-voce, the University shall send the thesis to another external examiner appointed by the Vice-Chancellor and the viva-voce examination shall be held only if the report of the latest examiner is satisfactory. If the report of the latest examiner is also unsatisfactory, the thesis shall be rejected and the research scholar shall be declared ineligible for the award of the degree. After satisfactory viva-voce, the Examination Committee or Sub-Committee, as the case may be, recommend that the result of the candidate be declared and the result shall be declared accordingly.



10. Academic, administrative and infrastructure requirement to be fulfilled by Colleges for getting recognition for offering Ph.D. Programme:

10.01 College may be considered eligible to offer Ph.D. programme only if they satisfy the availability of eligible Research Supervisors, required infrastructure and supporting administrative and research promotion facilities as per UGC Regulations.

10.02 Post-graduate Departments of colleges, Research laboratories of Govt. of India/State Govt. with at least two Ph.D. qualified teachers/scientists/other academic staff in the department concerned along with required infrastructure, supporting administrative and research promotion facilities as per UGC regulation, stipulated under sub-clause 10.03 shall be considered eligible to offer Ph.D. programme. College should additionally have the necessary recognition by the University.

10.03 College must have the following adequate facilities for research for Ph.D. programme:

(i) In case of science and technology disciplines, exclusive research laboratories with sophisticated equipment as specified by the University with provision for adequate space per research scholar along with computer facilities, essential software and uninterrupted power and water supply.

(ii) Earmarked library resources including latest books, Indian and International journals, e-journals, extended working hours for all disciplines, adequate space for research scholars in the Department/library for reading, working and storing study and research materials.

(iii) College may also access the required facilities of the neighboring Colleges/University Departments or of those Institutions/R&D laboratories/Organizations which have the required facilities and prior MOU with the University for collaborative research work.

11 Part-time Ph.D.

11.01 University or its affiliated college/institutes shall not conduct Ph.D. programme through distance education mode.

11.02 Part time Ph.D. will be allowed provided all the conditions mentioned in the extant Ph.D. ordinance are met.

(a) The part-time Ph.D. programme is available in the faculty of Arts, Commerce, Management, Advance Studies in Social Sciences and Education Training.

(b) The candidate shall have to complete Pre-Ph.D. course work of six months (one semester) as a regular candidate and shall appear in and pass the end semester examination.

(c) The candidate shall prepare his/her synopsis under the supervision of his/her supervisor in next six months after passing his/her Pre-Ph.D. course work examination as a regular candidate.

(d) In case of his/her taking-up a job in an educational institute or research institute, where Ph.D. is must for his/her carrier advancement and candidate registered for Ph.D. degree is unable to continue his/her research work as a regular candidate, he/she may opt Ph.D. degree as a part-time Ph.D. programme.



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- (e) For switch over to part-time Ph.D. programme, the candidate shall have to obtain prior permission from the RDC/Vice-Chancellor.
- (f) For part-time Ph.D. programme, candidate should complete at least one-year research work as a regular candidate from the date of registration including pre-Ph.D. course work (one semester).
- (g) The candidate in each year shall report for his/her attendance with the supervisor at research center in holidays/summer vacations/ earned leave etc. taken for this purpose from his/her employer for which the record will be maintained of his/her attendance by his/her supervisor.
- (h) In case of part-time Ph.D. programme his/her minimum period of research work shall be four years from the date of registration including pre-Ph.D. course work (one semester) or shall be increased by 50% of the remaining period, however the maximum period for submission of thesis shall be same as mentioned in ordinance 3.01.
- (i) The candidate shall have to work at the same approved research center for not less than 60 days in every year of part-time Ph.D. programme till the submission of thesis.
- (j) The candidate switching over in part-time programme shall submit a Certificate of 60 days in every year attendance from Supervisor who will maintain a record of the attendance for minimum 60 days in every year which can be in a stretch or in instalments of smaller periods.

12 Award of Ph.D. degree prior to Notification of these Regulation:

- 12.01** Award of degree to candidates registered for the Ph.D. programme on or after July 11, 2009 till the date of Notification of these Regulations (July 05, 2016) shall be governed by the provisions of the UGC Regulation, 2009 and any subsequent notifications.

13 Depository with INFLIBNET:

- 13.01** Following the successful completion of the evaluation process and conferment of the award of Ph.D. the University shall submit a soft copy of the Ph.D. thesis to the University Grants Commission within a period of thirty days, for hosting the same in the INFLIBNET, accessible to all Institutions/Universities.
- 13.02** The University shall host another soft copy of the Ph.D. thesis on its website for general viewing.
- 13.03** The University, along with the Degree, shall issue a Provisional Certificate certifying to the effect that the Degree has been awarded in accordance with the provisions to the University Grants Commission (minimum standards and Procedure for Award of Ph.D. Degree) Regulations, 2016.



CHHATRAPATI SHAHU JI MAHARAJ UNIVERSITY, KANPUR
DOCTOR OF PHILOSOPHY (Ph.D.) DEGREE ORDINANCE 2016
(Amended as per UGC regulation/notification dated May 5, 2016 and
published in Gazette of India no. 278 dated July 5, 2016)



14 GENERAL RULE

14.01 Any issue regarding the interpretation of this Ordinance shall be referred to the Vice-Chancellor, whose decision, in his capacity as the Chairman, Academic Council, shall be final and binding on all parties. The Vice-Chancellor may constitute necessary committees pertaining to any specific issue arising out of the present ordinance so as to resolve the issue.

15 LEAVE RULES FOR REGULAR MODE Ph.D.

15.01 A Ph.D. scholar shall be eligible to avail leave(s) as per the University rules applicable to all other students of the University. He/she shall not be entitled for any inter-semester breaks, winter and summer vacations. However, he/she would be entitled for an additional leave of up to 15 days on medical grounds in an academic year and one (1) special leave in a calendar month during the research work. Only medical leave provisions are cumulative in nature. All such leave would not be reckoned for calculation of minimum requirement of 36 months for submission of Ph.D. thesis and in such a situation, requirement of full 36 months needs to be completed.

15.02 The leave shall be granted by the Head of Department/Director of the Institute on the recommendation of the supervisor.

15.03 Attendance Register for all the Ph.D. students for Ph.D. duration is to be maintained in the office of the department/ Institute under the Head/Director of the Institute/Principal of affiliated colleges.

15.04 Residency period means residing in Research Center(s) Municipal limits in a continuous manner for the entire duration of the Ph.D. thesis work. Absence due to taking up of a job outside or for any other reason would amount to incomplete tenure of the Residency period. In all such cases specific study leave with permission to complete the Ph.D. work from the employer as well as from the RDC of the subject would be pre-requisite before continuing with the completion of the Residency period requirements. In all such cases, permission can be granted only once for single duration.